

We will give some applications of Thm 12.

Thm 15: (FTA)

Let $p \in \mathbb{C}[z] \setminus \mathbb{C}$. Then p has a root.

Proof: $(f_n)_{n \geq 0}, f_n(z) := \frac{P_n(z^{2^n})/P_n}{|P_n(e^{2^n i \theta})/P_n|}$

is a homotopy of loops at 1. As the constant loop if p has no root.

W.l.o.g. p has the form

$$p(z) = z^n + a_1 z^{n-1} + \dots + a_n$$

i.e. p is monic.

Take $r_0 > \max\{1, |a_1| + \dots + |a_n|\}$

Then for $0 \leq k \leq 1$ the polynomial

$$P_k(z) = z^n + k(a_1 z^{n-1} + \dots + a_n)$$

has no root on $\{z \in \mathbb{C} \mid |z| = r_0\}$,

$$\text{as for } |z| = r_0 \quad |P_k(z)| \geq r_0^n - k(|a_1| + \dots + |a_n|) r_0^{n-1}$$

$$\geq (r_0 - |a_1| - \dots - |a_n|) r_0^{n-1} > 0$$

$$g_k(t) := \frac{P_k(r_0 e^{2^n i t})/P_k(r_0)}{|P_k(r_0 e^{2^n i t})/P_k(r_0)|}$$

gives a homotopy from w_n to f_n .

$$\text{Thus } [w_n] = [f_n] = [f_0] = [w_0]$$

Injectivity in Thm 12 $\Rightarrow n=0$

$$\Rightarrow p \in \mathbb{C} \quad \square$$

Brouwer's fixed point theorem for D^2
 $= \{x \in \mathbb{R}^2 \mid |x|_2 \leq 1\}$

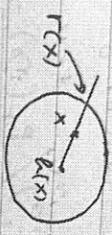
Thm 16: Let $f: D^2 \rightarrow D^2$ be continuous.

Then f has a fixed point, i.e.

$$\exists x \in D^2: f(x) = x.$$

Proof: Assume that h has no fixed point. Define the map

$r: D^2 \rightarrow S^1$, via intersection with S^1 of the ray $h(x) + \mathbb{R}^{\geq 0}(x - h(x))$.



Then r is continuous and $r|_{S^1} = \text{id}$.

Fix $x_0 \in S^1$ and let $[f] \in \pi_1(S^1, x_0)$. Then $[f]_{D^2} = [c]_{D^2}$,

for example by a linear homotopy $h_t(x) = (1-t)x_0 + tx$.

$\Rightarrow (\log_{x_0})_{0 \leq t \leq 1}$ is a homotopy from

$f = r \circ f$ to $c = \text{noc}$ in S^1 .

$\Rightarrow [f]_{S^1} = [c]_{S^1}$.

$\Rightarrow \pi_1(S^1, x_0) = 0 \quad \checkmark$ by Thm 1.2 \square

$[f]_{S^1}$ arbitrary

Thm 17: (Borsuk-Ulam for dimensions 2)

Let $f: S^2 \rightarrow \mathbb{R}^2$ be continuous. Then $\exists x \in S^2$ s.t. $f(-x) = f(x)$.

Rem 18: By Thm 17 there is a point x on earth such that x and $-x$ have the same temperature and the same barometric pressure.

Proof of Thm 17: Assume that there is not such x . Put

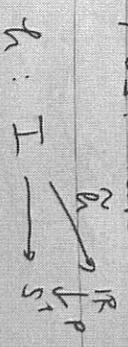
$$g(x) = \frac{(f(x) - f(-x))}{|f(x) - f(-x)|} \in S^1, x \in S^2$$

and

$$h(x) = (\cos(x)2\pi, \sin(x)2\pi, 0), p \in I.$$

and $h: I \rightarrow S^1$

Then $h(n + \frac{1}{2}) = -h(n)$ for all $n \in [0, \frac{1}{2}]$. Take a lift \tilde{h} of h .



Look at the graph of ρ .

$$\begin{aligned} \Rightarrow] \cdot \tilde{f}_n(0 + \frac{1}{2}) &= \tilde{f}_n(0) + \frac{1}{2} \text{ for all } n \in \mathbb{Z} \\ &\text{odd} \end{aligned}$$

$$\Rightarrow n + \tilde{f}_n(0) = \frac{1}{2} + \tilde{f}_n(\frac{1}{2}) = \tilde{f}_n(1)$$

W.l.o.g. we can assume that $f_n(0) = 1/0$.
Observe compose an axial rotation with f .

Then we can choose \tilde{f}_n s.t. $\tilde{f}_n(0) = 0$.

Then $\tilde{f}_n \simeq \tilde{\Omega}_n$ in \mathbb{R}

$$\Rightarrow [f_n]_{S^1} = [w_n]_{S^1} \text{ is non-trivial as } 2+n.$$

On the other hand: $g \simeq c_{(1,0)}$ in S^2

$$\Rightarrow f_n = g \circ \eta \simeq g \circ c_{(1,0)} = c_{(1,0)}$$

$$\Rightarrow [f_n]_{S^1} \text{ is trivial} \quad \square$$

Def 19: A pair (X, x_0) consisting of a top. space and a point $x_0 \in X$ is called a based space. A morphism $f: (X, x_0) \rightarrow (Y, y_0)$ of based spaces is a map $f: X \rightarrow Y$, continuous, s.t. $f(x_0) = y_0$.

Top based := Category of based topological spaces.

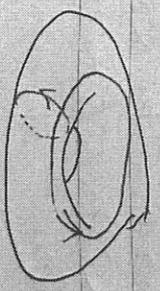
Prop 20: $\pi_1(X \times Y, (x_0, y_0)) \simeq \pi_1(X, x_0) \times \pi_1(Y, y_0)$

Proof: $[f]_{X \times Y} \longmapsto ([f_{x_0}], [f_{y_0}])$

$$[f_x f_y] \longleftarrow ([f_x], [f_y]) \quad \square$$

Example 21: $T^2 := S^1 \times S^1$ 2-dim. torus.

$$\pi_1(T^2) \simeq \pi_1(S^1) \times \pi_1(S^1) \simeq \mathbb{Z} \times \mathbb{Z}.$$



Prop 22 A morphism $\alpha: (X, x_0) \rightarrow (Y, y_0)$ induces a group homomorphism $\alpha_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, y_0)$ defined via

$$\alpha_*([\gamma]) := [\alpha \circ \gamma].$$

Further we have

$$(a) (\alpha \circ \beta)_* = \alpha_* \circ \beta_*$$

$$(b) (\text{id}_X)_* = \text{id}_{\pi_1(X, x_0)}. \quad (\text{identity map of the set } \pi_1(X, x_0))$$

Proof: easy. \square

Cor 23: Given $X \xrightarrow{\alpha} Y \xrightarrow{\beta} Z$ continuous such that $\beta \circ \alpha$ is a homeomorphism. Take $x_0 \in X$.

Then $\alpha_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, \alpha(x_0))$ is injective and $\beta_*: \pi_1(Y, \alpha(x_0)) \rightarrow \pi_1(Z, \beta(\alpha(x_0)))$ is surjective.

Example 24: $n \geq 1$. Take $x \in \mathbb{R}^n$.

Then $\mathbb{R}^n \setminus \{x\}$ is homeomorphic to $S^{n-1} \times \mathbb{R}$.

Thus $\pi_1(\mathbb{R}^n \setminus \{x\}) \simeq \pi_1(S^{n-1}) \times \pi_1(\mathbb{R}) \simeq \pi_1(S^{n-1})$.

Prop 25: Let $n \geq 2$. Then $\pi_1(S^n) = 0$.

Proof: $[1]_{S^n} \in \pi_1(S^n, x_0)$

Case 1: $\exists x \in S^n$ s.t. $x \notin \text{im}(f)$.

$S^n \setminus \{x\}$ homeomorphic to \mathbb{R}^n and $\pi_1(\mathbb{R}^n) = 0$.

So f in homotopy to κ_{x_0} in $S^n \setminus \{x\}$.

$$\text{So } [f]_{S^n} = [\kappa_{x_0}]_{S^n}$$

Case 2: $\text{im}(f) = S^n$. We show

that \exists a non-surjective loop at x_0 homotopy to f .

(Then apply Case 1)

Take $x \neq x_0$ and an open ball $B \subseteq S^n$ around x . P.L. $x_0 \notin \bar{B}$.

B is an intersection of an open ball in \mathbb{R}^{n+1} with S^n .

$f^{-1}(B)$ is a disjoint union of open intervals in $I = [0, 1]$.

$f^{-1}(x)$ is compact, or there are only finitely many ^{those} intervals $[a_i, b_i], \dots, [a_m, b_m] \subseteq I$ intersecting $f^{-1}(x)$.

$f(a_i), f(b_i) \in \partial B$ for $i=1, \dots, m$. So

$f|_{[a_i, b_i]}$ is homotop. to α

paths $g: [a_i, b_i] \rightarrow \partial B$. (Why?)

Then f is homotop. to α

loop g in S^1 with $\text{im}(g) \neq X$

□

Cor 2.6: \mathbb{R}^2 is not homeomorphic

to \mathbb{R}^n for $n \neq 2$.

Proof: $n=1$: $\mathbb{R} \setminus \{pt\}$ disconnected

$\mathbb{R}^2 \setminus \{pt\}$ connected.

$n > 2$: $\pi_1(\mathbb{R}^n \setminus \{pt\}) \stackrel{24}{\cong} \pi_1(S^{n-1}) \stackrel{25}{=} 0$

$\pi_1(\mathbb{R}^2 \setminus \{pt\}) \stackrel{24}{\cong} \pi_1(S^1) \stackrel{26}{\cong} \mathbb{Z}$

□

For a subset $A \subseteq X$ and $x_0 \in A$ it is reasonable to study

$\iota_{x_0}: \pi_1(A, x_0) \rightarrow \pi_1(X, x_0)$

for the inclusion $\iota: A \rightarrow X$

$(\iota(x)) = x, x \in A$

Theorem 2.7: (a) If A is a retract of X then ι_x is injective

(b) If A is a deformation retract of X then ι_x is bijective

We recall the notions:

Def 2.8: (a) A continuous map $r: X \rightarrow X$

is called retraction of X onto A

if $\text{im}(r) = A$ and $r|_A = \text{id}_A$

(4) A homotopy $F: X \times I \rightarrow X$ is called a deformation retraction of X onto A

- if
- $F(-, 0) = \text{id}_X$
 - $F(a, t) = a \quad \forall a \in A, \forall t \in I$
 - $\text{Im}(F(-, 1)) = A$

(i.e. F is a homotopy from id_X to a retraction onto A relative to A)

Example 29: $r: \mathbb{R}^2 \setminus \{0\} \rightarrow X \rightarrow X$
 $r(x) := \frac{x}{|x|}$

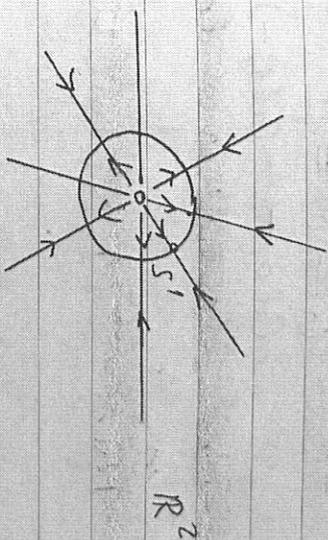
Then r is a retraction from X onto S^1 .
 (Indeed: $\text{im}(r) = S^1$ and $r(x) = x$ for all $x \in S^1$)

Claim: S^1 is a deformation retract of X .

Proof: $F: X \times I \rightarrow X$

$$F(x, t) := (1-t)x + t \frac{x}{|x|}$$

Then $F(-, 0) = \text{id}_X$, $F(-, 1) = r$ and $F(-, t)|_{S^1} = \text{id}|_{S^1}$.



Then Thm 27 implies that $\iota_*: \pi_1(S^1) \rightarrow \pi_1(\mathbb{R}^2 \setminus \{0\})$ is a group isomorphism.

Proof of Thm 27: (a) $r: X \rightarrow X$ a retraction of X onto A
 Put $\alpha: X \rightarrow A$, $\alpha(x) := r(x)$
 $\Rightarrow \alpha \circ \iota = \text{id}_A \stackrel{2.3}{\Rightarrow} \iota_*$ is injective.

(4) $F: X \times I \rightarrow X$ a deformation retraction of X onto r .
 Take $[f]_x \in \pi_1(X, x_0)$. ($x_0 \in A$)

Put $G(0, A) := F(f(x), A)$.

Then $G(-, 0) = f$

$G(0, -) = G(1, -) = x_0$

and $G(-, 1)$ is a loop in A .

Thus $[f]_x \in \text{im}(L_g) \square$

Def 30 $\alpha: X \rightarrow Y$ continuous is called a homotopy equivalence if $\exists \beta: Y \rightarrow X$ cont. s.t.
 $\alpha \circ \beta \simeq \text{id}_Y$ and $\beta \circ \alpha \simeq \text{id}_X$

In that case we say that X is homotopy equivalent to Y (or we say: X and Y have the same homotopy type.)

Prop 31: Let $\alpha: X \rightarrow Y$. Let a homotopy equivalence and $x_0 \in X$.
 Then $\alpha_*: \pi_1(X, x_0) \rightarrow \pi_1(Y, \alpha(x_0))$ is bijective.

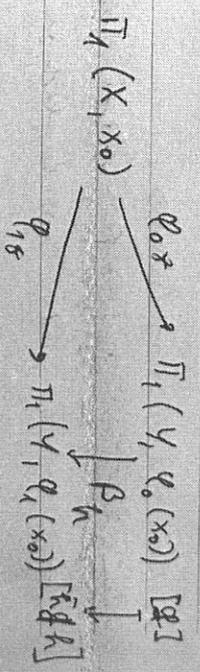
Lemma 32: Let $\Phi: X \times I \rightarrow Y$

be a homotopy from φ_0 to φ_1 .

Put $\alpha_i := \Phi(x_0, -)$, i.e.

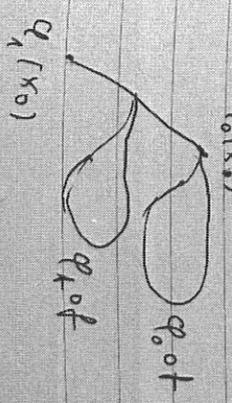
α_i is a path from $\varphi_0(x_0)$ to $\varphi_1(x_0)$.

Then the following diagram commutes.



Proof: Take $[f]_x \in \pi_1(X, x_0)$

To show $\alpha_*([f]_x) \simeq \varphi_{1*}([f]_x)$.



□

Proof of Prop. 31: Note that in Lemma 32 β_* is bijective.

Take $\beta: Y \rightarrow X$ o.l. $\text{ker } \beta \simeq \text{id}_Y$
and $\beta \circ \alpha \simeq \text{id}_X$.

By Lem 32

$$(\beta \circ \alpha)_* : \pi_1(X, x_0) \rightarrow \pi_1(X, \beta(\alpha(x_0)))$$

$$\text{and } (\beta \circ \beta)_* : \pi_1(Y, \alpha(x_0)) \rightarrow \pi_1(Y, \alpha(\beta(\alpha(x_0))))$$

are isomorphisms

$\Rightarrow \alpha_*$ and β_* are injective.

$\Rightarrow \alpha_*$ is bijective.

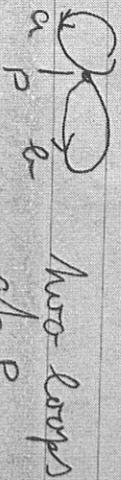
β_* is bijective.

□

II Van Kampen's Theorem

II.1. Free product of groups:

Example 33: $X = S^1 \vee S^1$



A loop in X at P is known as a loop. For some word of the form

$$a^{\epsilon_1} b^{\epsilon_2} a^{\epsilon_3} \dots$$

(where $\epsilon_i = \pm 1$)

finally many.

(It might start with b)
In fact this can be interpreted as an element of $\pi_1(X)$. We want to define the group of such words without geometry.

Def 34: (free product of groups)

Let $(G_\lambda)_{\lambda \in A}$ be a family of groups, and $\text{head } \forall \lambda, m \in A, \lambda \neq m: G_\lambda \cap G_m = \{1\}$.

$$\text{Prod } * := \bigcup_{\lambda \in A} G_\lambda \\ = \{1\} \cup \bigcup_{\lambda \in A} (G_\lambda \setminus \{1\})$$

(disjoint union)

(a) A word in $*$ is a tuple

$$\underline{g} = (g_1, \dots, g_m) \text{ with } m \geq 0 \\ \text{and } g_i \in *, \text{ for all } i.$$

(b) $\underline{g} \sim \underline{h}$ (incident)

$$\text{if } (\exists j \in \{1, \dots, m+1\}: \underline{h} = (g_1, \dots, g_{j-1}, g_j, g_{j+1}, \dots, g_m)) \\ \text{or } (\underline{g} = (1) \text{ and } \underline{h} = (1)) \\ \text{or vice versa.}$$

(c) $\underline{g} \sim \underline{h}$ (equivalent)

$$\Leftrightarrow \text{out } \exists \ell \geq 0 \exists \underline{c}^{(0)}, \dots, \underline{c}^{(\ell)} \text{ words} \\ \text{in } * : \\ \underline{g} = \underline{c}^{(0)} \sim \underline{c}^{(1)} \sim \dots \sim \underline{c}^{(\ell)} = \underline{h}.$$

$$(d) * G_\lambda := \{ [\underline{g}] \sim \mid \underline{g} \text{ word in } * \}$$

"free product" of the family $(G_\lambda)_{\lambda \in A}$.

Example 35:

$$G = \langle a \rangle \cong \mathbb{Z} \\ H = \langle e \rangle \cong \mathbb{Z}$$

$$* = \bigcup \{ a^i, e^k \mid i, k \in \mathbb{Z} \setminus \{0\} \}$$

Consider the word $\underline{g} = (a, 1, e, a, a^2)$

$$\underline{g} \sim (a, e, e, a, a^2) \sim (a, e^2, a, a^2) \\ \sim (a, e^2, a^1)$$

Prop 36: $\prod G_n$ is a group with group structure

$$[g]_n [h]_n := [g \cup h]_n$$

where $g \cup h := (g_{n_1}, \dots, g_m, h_{n_1}, \dots, h_n)$,

for $g = (g_{n_1}, \dots, g_m), h = (h_{n_1}, \dots, h_n) \in \mathcal{A}$.

Proof: well-def. \checkmark
associativity \checkmark
unit element $[e]_n$ empty word

inverse: $[g]_n^{-1} = [(g_{m_1}^{-1}, \dots, g_{m_1}^{-1})]_n$

□

Def 37: A word g in \mathcal{A} is called reduced if \nexists word $h = (h_{n_1}, \dots, h_n)$ in \mathcal{A} with $n < m$ and $g \sim h$.

Lemma 38: Let $g := (g_{n_1}, \dots, g_m)$ in \mathcal{A} .

- Suppose (1) $\forall i: g_i \neq 1$ and
- (2) $\forall i=1, \dots, m: \nexists h \in \mathcal{A} \text{ s.t. } g_i, h_i \in G_A$.

Then g is reduced.

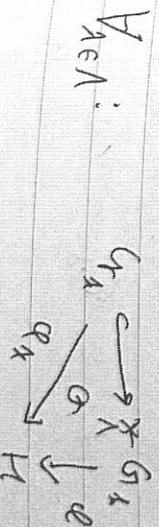
Proof: exercise. □

Prop 39: (a) $G_A \xrightarrow{I_A} \prod G_n$

$g \mapsto [g]_n$ is injective.

(e) Let $(G_n \xrightarrow{\varphi_n} H)_n$ be a family of group homomorphisms. Then $\exists!$ group homomorphism

$$\prod G_n \xrightarrow{\varphi} H \text{ such that}$$



Proof: (a) $\ker \varphi$ is a group homomorphism.
 $\ker \varphi = [1] \Rightarrow (g) \sim (1)$

$\Rightarrow (g)$ is not reduced.
 $\Rightarrow \varphi = 1$.

(b) We are given $(G_N \xrightarrow{\varphi_N} M)_N$

and put $\ast G_N \xrightarrow{\varphi} H$
 via

$$\varphi([g]) := \varphi_{N_1}(g_1) \dots \varphi_{N_m}(g_m)$$

if $g_i \in G_{N_i}, i=1, \dots, m$.

φ is a well-defined group homomorphism and unique w.r.t. the diagram as

- $\cup \ker \varphi$ generates $\ast G_N$ and
- $\varphi \circ \ker \varphi = \varphi_N$.

□

Example 40

$$(a) \mathbb{Z} \times \mathbb{Z} = \langle a^{i_1} b^{i_2} a^{i_3} \dots a^{i_{r-1}} \rangle$$

$a^{i_1} b^{i_2} \dots a^{i_{r-1}} b^{i_r} \dots b^{i_m}$
 $a^{i_1} a^{i_2} \dots a^{i_{r-1}}$
 $b^{i_1} \dots b^{i_m}$
 and $r \geq 0$

example: $a^2 b^{-1} a b$.

(b) free group on m -letters

While $Z = \mathbb{Z} \times \dots \times \mathbb{Z}$

(free \mathbb{Z} module generated by x .)

$$\mathbb{Z} \times \dots \times \mathbb{Z} \cong \langle x_1 \rangle \ast \dots \ast \langle x_m \rangle$$

$$\cong: F(x_1, \dots, x_m)$$

Exercise 41: The abelianization of $F(x_1, \dots, x_m)$ is isomorphic to $\underbrace{\mathbb{Z} \oplus \dots \oplus \mathbb{Z}}_m$.

Thm 1: Prop 3.9 (a) gives a group homom.

$$F(x) \longrightarrow \mathbb{Z}^m$$

$$x_i \longmapsto e_i = (0, \dots, 1, \dots, 0)$$

Compute the kernel. \square

Def 4.2: (Presentation of a group)

$G = \langle x_1, \dots, x_m \mid W \rangle$
 in the group generated by x_1, \dots, x_m with relation w
 (w word in $x_i^{\pm 1}$)

$\cong F(x) / \langle\langle W \rangle\rangle$
 smallest normal subgroup containing w

This can be done with more words.

$$R \subseteq F(x)$$

$$\langle x \mid R \rangle := F(x) / \langle\langle R \rangle\rangle$$

Example 4.3:

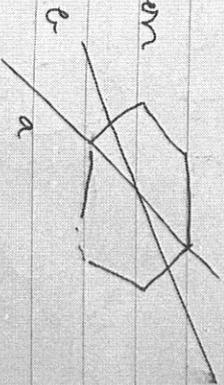
$$\langle a \mid a^m \rangle \subseteq \mathbb{Z}/m\mathbb{Z} = \{ [z]_m \mid z \in \mathbb{Z} \}$$

$$= \{ [0]_m, \dots, [m-1]_m \}$$

$\langle a, b \mid a^2, b^2, (ab)^m \rangle$
 D_{2m} dihedral group with $2m$ elements.

model: $m \geq 3$

regular m -gon



a and b are represented by reflections.

II.2. The van Kampen Theorem

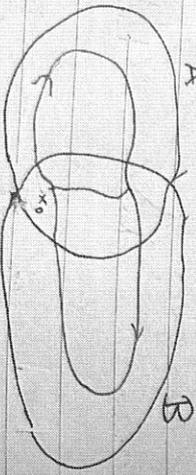
We want to compute $\pi_1(X, x_0)$ using $\pi_1(A_i, x_0)$ for an open cover $X = \bigcup A_i$ of X .

By Prop 3.9 (b) we have a map

$$\Phi: \ast \prod_1 (A_i, x_0) \longrightarrow \pi_1(X, x_0)$$

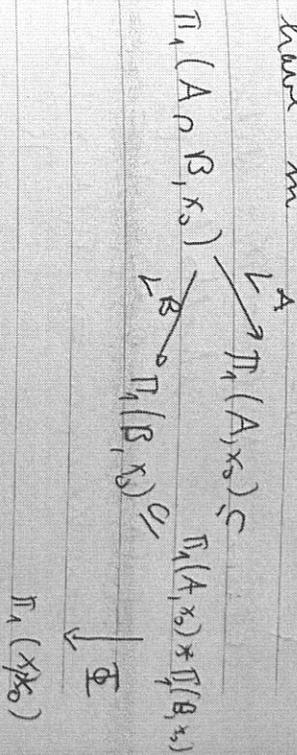
van Kampen says that Φ is very often surjective.

(The condition is that $V_{i \neq m}$ is $A_i \cap A_m$ is path connected, or that we can express a loop in X as a product of loops in A_i 's.)



Φ is in general not injective. Consider $X = A \cup B$, $x_0 \in A \cap B$, A and B open.

We have in



$$\Phi(L^A([f])) = \Phi(L^B([f])), \forall [f] \in \pi_1(A \cap B, x_0)$$

So $L^A([f]) L^B([f])^{-1} \in \ker \Phi$.

van Kampen says, if $A \cap B$ is path connected, then

$$N := \langle\langle L^A([f]) L^B([f])^{-1} \mid [f] \in \pi_1(A \cap B, x_0) \rangle\rangle$$

is equal to $\ker \Phi$, i.e.

$$\pi_1(A, x_0) \ast \pi_1(B, x_0) / N \xrightarrow{\cong} \pi_1(X, x_0)$$

Example 44: (a)

$$S^1 \vee S^1$$

(wedge sum)

$$X = \infty$$

$$A = \infty \quad B = \infty$$

(Then $A \cap B = X$ deformation retracts for a point)

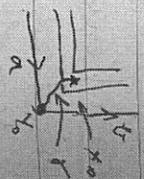
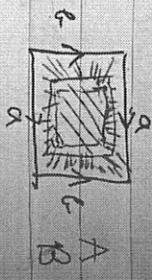
$$\pi_1(A \cap B, x_0) = 0 \Rightarrow N = \{1\}$$

$$\text{van Kampen} \Rightarrow \pi_1(A) * \pi_1(B) \cong \pi_1(X)$$

$$\mathbb{Z} * \mathbb{Z}$$

(b) Area $S^1 \times S^1$

$$\pi_1(B, x_0) = 0$$



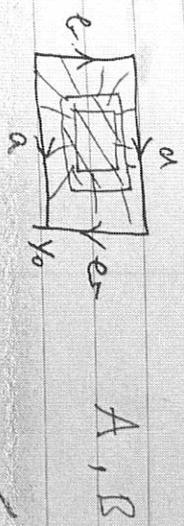
$A \cap B$ deformation retracts for a circle which is homotopic for

$$x \in \alpha^{-1} \alpha^{-1} \alpha^{-1} \alpha^{-1} \text{ in } A$$

$$\text{So } \pi_1(X) \cong \pi_1(A, x_0) \cong \langle \alpha^{-1} \alpha^{-1} \alpha^{-1} \alpha^{-1} \rangle$$

$$\cong \pi_1(A, y_0) \cong \langle \alpha^{-1} \alpha^{-1} \alpha^{-1} \alpha^{-1} \rangle$$

(c) Kleinian bottle



$$\pi_1(X, x_0) \cong \mathbb{Z} * \mathbb{Z} \cong \langle \alpha^{-1} \alpha^{-1} \alpha^{-1} \alpha^{-1} \rangle$$

$$= \langle a, b \mid \alpha^{-1} a = a \alpha \rangle$$

(d) $X = \mathbb{R}P^2$



$$\pi_1(X, x_0) \cong \mathbb{Z} \cong \langle \alpha \rangle \cong \mathbb{Z}/2\mathbb{Z}$$

$$\mathbb{Z} = \langle \alpha \rangle$$

$$\cong \langle \alpha^{-1} \alpha^{-1} \alpha^{-1} \alpha^{-1} \rangle = \mathbb{Z} \oplus \mathbb{Z}$$